

AUDIT COMMITTEE

(Committee Rooms 1/2 - Port Talbot Civic Centre)

Members Present:

25 November 2016

Chairperson: Councillor J.D.Morgan

Councillors: Ms.C.Clement-Williams, Mrs.R.Davies,
J.S.Evans, M.Harvey and D.Keogh

Officers In Attendance D.Rees, Miss A.O'Donnell and Miss.C.Davies

Representing the Wales Audit Office: Ms.G.Gillett

Voting Lay Member: Mrs.J.Jenkins

1. MEMBER'S DECLARATIONS OF INTEREST.

The following Member made a declaration of interest at the commencement of the meeting:

Councillor R.Davies - Report of the Head of Financial Services–Agenda Item 4–Internal Audit Service – Progress Report– as Councillor Mrs.R.Davies is a Governor to Godregraig primary School.

Councillor C.Clement-Williams - Report of the Head of Financial Services–Agenda Item 8–Private Item – as Councillor Mrs.C.Clement Williams is related to a member of Staff based at the establishment under discussion.

Councillor J.D.Morgan - Report of the Head of Financial Services–Agenda Item 4–Internal Audit Service – Progress Report–

as Councillor J.D.Morgan is a
Governor to Ysgol Gynradd
Gymraeg Cwm-Nedd.

2. **MINUTES OF THE AUDIT COMMITTEE HELD ON THE 23
SEPTEMBER, 2016**

RESOLVED: that the Minute of the meeting held on the 23
September 2016, be noted.

3. **TREASURY MANAGEMENT MONITORING 2016/17**

Members received an overview of the treasury management action
and information reported to the Policy and Resources Cabinet Board
on the 2nd November 2016, as detailed within the circulated report.

RESOLVED: that the report be noted.

4. **INTERNAL AUDIT SERVICE - PROGRESS REPORT**

Members were provided with an update report outlining internal audit
work undertaken in the last quarter.

It was noted that the date 1st June 2016 should have read 1st
September 2016 on page 13, Paragraph 3 to the circulated report.

Members highlighted that at the last meeting of Audit Committee, the
Head of Human Resources provided the members with a verbal
update on Disclosure and Barring Service Checks and it was noted
that a guide be created and made available to school based staff on
DBS Checks. Members asked that the guide be created by the next
meeting of Audit Committee.

Officers informed members that report 37 would be available at the
next meeting.

RESOLVED: that the report be noted

5. **INTERNAL AUDIT SERVICE - CORPORATE RISK REGISTER UPDATE**

Members were provided with the first six monthly (April 2016 to September 2016) progress on the Council's Corporate Risk Register, together with details of the work undertaken by Internal Audit.

The Committee highlighted that reference number NPT15 detailed within Appendix 1 to the circulated report, contained a mitigating action which required Audit committee Members to undertake Systematic Assurance of Arrangements Training which had a target date of 30 November, 2016. Officers informed the Committee that this target had been achieved and that the Corporate Risk register would be updated.

RESOLVED: that the report be noted.

6. **URGENT ITEM**

Because of the need to deal now with the matter contained in Minute No. 7 below, the Chairman agreed that this could be raised at today's meeting as an urgent item, pursuant to Section 100B(4)(b) of the Local Government Act 1972.

Reason

Due to the time element.

7. **VERBAL UPDATE - GRANTS**

Members received a verbal update on Grants. It was noted that Wales Audit Office would be piloting a different approach to the auditing of grants.

Members were informed that officers would be working with Wales Audit Office to bring forward the programme for closure of the accounts and production of the Statement of Accounts. This process of earlier closure would begin for the 2016/17 accounts.

RESOLVED: that the update be noted.

8. **ACCESS TO MEETINGS**

RESOLVED: that pursuant to Section 100A(4) and (5) of the Local Government Act 1972, the public be excluded for the following items of business which involved the likely disclosure of exempt information as defined in Paragraphs 14 of Part 4 of Schedule 12A to the above Act.

9. **INTERNAL AUDIT SERVICE - SPECIAL INVESTIGATIONS**

Members were provided with details of all audits undertaken in the last quarter which had a Risk Rating of 3, 4 or 5 applied, Officers reported to members on all Special Investigation Reports issued and advised members of all current Special Investigations.

RESOLVED: that the report be noted.

CHAIRPERSON